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Patent Application for:

METHOD AND APPARATUS FOR CONTROLLING SET-TOP BOX  
HARDWARE AND SOFTWARE FUNCTIONS

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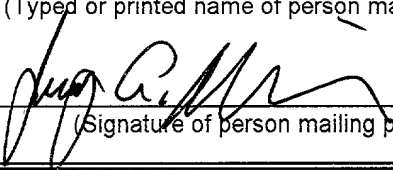
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9                   **METHOD AND APPARATUS FOR CONTROLLING SET-TOP BOX**  
10                   **HARDWARE AND SOFTWARE FUNCTIONS**  
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12  
13                   **CROSS REFERENCE TO RELATED DOCUMENTS**

14                   This application is related to and claims priority benefit of U. S. provisional  
15 patent application serial number 60/197,233, filed April 14, 2000 to Pedlow, Jr. et  
16 al. entitled "Cable Modem Set-Top Box", U. S. provisional patent application serial  
17 number 60/197,848, filed April 14, 2000 to Scanlan entitled "A User Interface for a  
18 Set-Top Box", and U. S. provisional patent application serial number 60/237,570,  
19 filed October 3, 2000 to Toshiro Ozawa entitled "Browser-to-Middleware Interface  
20 Using HTTP Microserver", attorney docket number 50P4255, which are hereby  
21 incorporated herein by reference.  
22

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1 **FIELD OF THE INVENTION**

2 This invention relates generally to the field of television set-top boxes. More  
3 particularly, this invention relates to television set-top boxes using a browser  
4 interface and to a method and apparatus of controlling various hardware and  
5 software set-top box functions through the browser.  
6

7 **BACKGROUND OF THE INVENTION**

8 Television set-top boxes are used to provide tuning capabilities for cable and  
9 satellite television systems. While these devices still provide that fundamental  
10 function, digital set-top boxes now often incorporate powerful computers in the  
11 latest generation of set-top boxes. With such computers available, it is now  
12 possible to expand the usefulness of the television set-top box beyond that of  
13 merely providing tuning functions for cable and satellite systems. Many set-top  
14 boxes now provide users with the ability to navigate the Internet using their  
15 television sets rather than a computer.

16 An on-screen user interface (UI) is commonly used with televisions and  
17 television set-top boxes for cable and satellite television systems to support  
18 numerous features. Features such as menus of favorite channels, locks and limits,  
19 timed recording, etc. as well as electronic program guides, pay-per-view (PPV) or  
20 video-on-demand (VOD) and other menus and guides are typically provided through  
21 such a user interface. In most instances, the user interface screens provided by  
22 televisions and television set-top boxes are bit map graphics with all of the behavior  
23 (navigation, state transition, etc.) implemented as software programs. Such  
24 programs are often coded in the "C" programming language or a variation thereof.

25 As the functionality of the user interface increases, the user interface  
26 structure becomes more complicated and the amount of coding required to support  
27 the on-screen user interface increases. Moreover, the amount of memory required  
28 by the graphics images grows and may become a cost issue due to the need to  
29 provide more memory within the device. In addition, even minor changes to the

1 user interface specification generally require a code change making the design of  
2 such user interfaces extremely difficult to modify and inflexible.

3 In order to ameliorate these problems, some set-top boxes are being  
4 designed with Internet access capability. HTML (Hypertext Markup Language) user  
5 interface pages and a web browser are used to provide the user interface. This  
6 approach provides the advantages of reduced memory size to store user interface  
7 screens since HTML files are small compared with bit map graphics, flexibility to  
8 adopt changes since changing the user interface page design only requires  
9 changing the HTML files, and ease of creating a menu structure by using dynamic  
10 links. However, these advantages can be overshadowed by the disadvantages that  
11 the browser itself has a large memory footprint. Also, since the browser is  
12 optimized for Internet access, accessing local functions are not generally  
13 accommodated.

14 HTML by its very nature is designed to protect the resources of client  
15 computer from the outside. It is generally not possible to create an HTML file so  
16 that the loading of the file will directly access software outside the browser. In the  
17 case of an electronic programming guide, for example, it is easy to display a  
18 program guide page written in HTML but difficult to tune a channel by selecting a  
19 button on the program guide that requires access to local functions inside the set-  
20 top box.

21 In order to accommodate such functions requiring access to local resources  
22 within the set-top box, the interface between the browser and the remaining set-top  
23 box can be customized within the browser. This can be accomplished, for  
24 example, by defining special URLs that are trapped by the browser to call functions  
25 to lower level software (such as middleware or device drivers) before they are  
26 processed as normal URLs by sending an HTTP request to a server, for example.  
27 The special URL can be described as follows:

28  
29 **internal:function?<parameter1>value</parameter1><parameter2>value2</parameter2>**  
30

1 In this example the key word "internal" is trapped by the browser and the  
2 remainder of the string is translated to an internal function with two parameters.  
3 However, this solution also has drawbacks. Due to the requirement of modifying  
4 the browser source code, which is often produced by another party, in-depth  
5 knowledge of the code and access to the code is required. In addition, the special  
6 URL code required to implement this modification is outside the HTML standard.  
7 Finally, one URL is needed for each function to be accessed. For each URL  
8 addition the entire browser software generally requires recompiling.

9 An HTTP Microserver is sometimes provided as a part of a real time  
10 operating system. Such HTTP Microservers function in a manner similar to any  
11 other HTTP Server and are conventionally used to provide for remote system setup  
12 and diagnostics to permit access to internal API calls from outside computers via  
13 a TCP/IP network. Sometimes HTTP Microservers are also used to provide a  
14 display mechanism for a device that normally has no display of its own. Thus, a  
15 personal computer with a browser can be used to access a microserver on the  
16 device to monitor status or change settings. This function is used on devices such  
17 as printers and routers. In summary, HTTP Microservers conventionally provide the  
18 ability to access functionality from the outside of a device.

## 19 20 **SUMMARY OF THE INVENTION**

21 The present invention relates generally to set-top boxes. Objects,  
22 advantages and features of the invention will become apparent to those skilled in  
23 the art upon consideration of the following detailed description of the invention.

24 In one embodiment of the present invention a method and apparatus for  
25 controlling set-top box hardware and software functions uses an HTTP microserver  
26 to intercept HTTP requests for access to local devices and software. When the  
27 HTTP microserver receives a URL from the TCP/IP network stack, it directs  
28 instructions to an appropriate interface module that in turn generates an API call  
29 for an appropriate middleware module. The middleware module may then  
30 appropriately control a lower level software module to effect a software function or

1 to drive hardware.

2 In accordance with an embodiment consistent with the present invention, a  
3 method of controlling local hardware or software using a browser includes:  
4 directing an HTTP request from a browser to a local HTTP microserver having an  
5 IP address; at the HTTP microserver, parsing the HTTP request to identify a target  
6 interface module, and directing the HTTP request to the target interface module;  
7 and at the target interface module, generating an API call from the HTTP request.

8 Another method, consistent with the present invention, of controlling local  
9 hardware or software using a browser includes: directing a request from a browser  
10 to a local microserver having an address; at the microserver, parsing the request  
11 to identify a target interface module, and directing the request to the target interface  
12 module; and at the target interface module, generating an application call from the  
13 request.

14 In another embodiment, a television set-top box consistent with an  
15 embodiment of the invention includes a programmed processor. A browser  
16 software segment runs on the programmed processor. A user interface software  
17 segment also runs on the programmed processor and receives a user command  
18 to select a link using the browser software segment. A network stack receives  
19 messages directed to an IP address from the browser software segment in  
20 response to the user command selecting a link, and issues an HTTP request in  
21 response thereto directed to the IP address. A middleware software module also  
22 runs on the programmed processor. An HTTP microserver has an IP address and  
23 runs as a software segment on the programmed processor. The HTTP microserver  
24 has an interface module that interfaces with the middleware software module by  
25 issuing an API (Application Program Interface) call to the middleware software  
26 module in response to the HTTP request, the API call implementing the user  
27 command.

28 In another exemplary embodiment, a television set-top box includes a  
29 programmed processor. A browser software segment runs on the programmed  
30 processor. A user interface software segment also runs on the programmed

1 processor and receives user commands to select a link using the browser software  
2 segment. A network stack receives messages directed to an IP address from the  
3 browser software segment in response to user commands that select selecting  
4 links, and issues HTTP requests in response thereto directed to the IP address. A  
5 plurality of middleware software modules also run on the programmed processor.  
6 An HTTP microserver having an IP address also runs as a software segment on the  
7 programmed processor. The HTTP microserver has a plurality of interface modules  
8 that interface with the plurality of middleware software modules by issuing API  
9 calls to the plurality of middleware software module in response to the HTTP  
10 request, the API calls implementing the user commands.

11 Another embodiment of a television set-top box consistent with the invention  
12 includes a programmed processor. A browser software segment runs on the  
13 programmed processor. A user interface software segment also runs on the  
14 programmed processor and receives user commands to select a link using the  
15 browser software segment. A network stack receives messages directed to an  
16 address from the browser software segment in response to user commands that  
17 select selecting links, and issues requests in response thereto directed to the  
18 address. A plurality of middleware software modules also run on the programmed  
19 processor. A microserver having the address also runs as a software segment on  
20 the programmed processor. The microserver has a plurality of interface modules  
21 that interfaces with the plurality of middleware software modules by issuing  
22 application calls to the plurality of middleware software module in response to the  
23 request, the application calls implementing the user commands.

24 Another television set-top box consistent with the invention includes a  
25 programmed processor. A browser software segment runs on the programmed  
26 processor. A user interface software segment runs on the programmed processor  
27 and receives a user command to select a link using the browser software segment.  
28 A TCP/IP network stack receives messages directed to an IP address from the  
29 browser software segment in response to the user command selecting a link, and  
30 issues an HTTP request in response thereto directed to the IP address. A

1 middleware software module also runs on the programmed processor. An HTTP  
2 microserver having an IP address also runs as a software segment on the  
3 programmed processor. The HTTP microserver has an interface module that  
4 interfaces with the middleware software module by issuing an API call to the  
5 middleware software module in response to the HTTP request, the API call  
6 implementing the user command. A television tuner hardware driver interfaces to  
7 and controls the television tuner hardware driver. A television tuner is provided  
8 wherein the user command includes a command to change a selected television  
9 channel. The API call directs the middleware software module to change channels  
10 and the middleware software module directs the television tuner driver to change  
11 a channel tuned by the television tuner.

12 In yet another embodiment, a television set-top box includes a programmed  
13 processor. A browser software segment runs on the programmed processor. A  
14 user interface software segment also runs on the programmed processor and  
15 receives a user command to select a link using the browser software segment. A  
16 TCP/IP network stack receives messages directed to an IP address from the  
17 browser software segment in response to the user command selecting a link and  
18 issues an HTTP request in response thereto directed to the IP address. A  
19 middleware software module also runs on the programmed processor. An HTTP  
20 microserver having an IP address also runs as a software segment on the  
21 programmed processor. The HTTP microserver has an interface module that  
22 interfaces with the middleware module by issuing an API call to the middleware  
23 software module in response to the HTTP request, the API call implementing the  
24 user command. A segment of lower level software code segment carries out one  
25 of a memory write and a memory read operation under the direction of the API call.

26 In another embodiment, an electronic storage medium storing instructions  
27 which, when executed on a programmed processor, carry out a process of  
28 controlling local hardware or software using a browser including: directing an HTTP  
29 request from a browser to a local HTTP microserver having an IP address; at the  
30 HTTP microserver, parsing the HTTP request to identify a target interface module,



1 and directing the HTTP request to the target interface module; and at the target  
2 interface module, generating an API call from the HTTP request.

3 Certain embodiments of the present invention provide a method and  
4 apparatus for controlling set-top box hardware and software functions. An HTTP  
5 microserver is used to intercept HTTP requests for access to local devices and  
6 software. When the HTTP microserver receives a URL from the TCP/IP network  
7 stack, it directs instructions to an appropriate interface module that in turn  
8 generates an API call for an appropriate middleware module. The middleware  
9 module may then appropriately control a lower level software module to effect a  
10 software function or to drive hardware.

11 The above summaries are intended to illustrate exemplary embodiments of  
12 the invention, which will be best understood in conjunction with the detailed  
13 description to follow, and are not intended to limit the scope of the appended  
14 claims.

### 15 16 BRIEF DESCRIPTION OF THE DRAWINGS

17 The features of the invention believed to be novel are set forth with  
18 particularity in the appended claims. The invention itself however, both as to  
19 organization and method of operation, together with objects and advantages  
20 thereof, may be best understood by reference to the following detailed description  
21 of the invention, which describes certain exemplary embodiments of the invention,  
22 taken in conjunction with the accompanying drawings in which:

23 **FIGURE 1** is a block diagram of the relevant software of an exemplary set-  
24 top box using an embodiment of the present invention.

25 **FIGURE 2** is a flow chart of an embodiment of the software process carried  
26 out in the browser and TCP/IP stack of an embodiment of the present invention.

27 **FIGURE 3** is a flow chart of an embodiment of the software process carried  
28 out in an HTTP microserver, consistent with embodiments of the invention, and  
29 beyond as a result of an HTTP request received by the HTTP microserver.

## DETAILED DESCRIPTION OF THE INVENTION

While this invention is susceptible of embodiment in many different forms, there is shown in the drawings and will herein be described in detail specific embodiments, with the understanding that the present disclosure is to be considered as an example of the principles of the invention and not intended to limit the invention to the specific embodiments shown and described. In the description below, like reference numerals are used to describe the same, similar or corresponding parts in the several views of the drawings.

For purposes of this application, the term "lower level software" is intended to embrace any software code segment that operates under the direction of a middleware software layer. The term "local" is intended to embrace hardware and software devices that are a part of the set-top box or are coupled directly to the set-top box and are thus directly addressable by the set-top box. The term "local" is intended to exclude hardware and software that is addressable via an IP address over the Internet.

Referring now to **FIGURE 1**, a set-top box 100 utilizes an HTTP (Hypertext Transfer Protocol) microserver 104 to implement the present invention. HTTP microservers are often provided as part of the real time operating system package. Such microservers are primarily used for remote systems set up in diagnostics to enable access to internal API (Application Program Interface) calls from outside computers via the TCP/IP network. It has basically the same functionality that a regular HTTP server provides. In response to HTTP requests, the HTTP microserver 104 executes server functions and returns the results in an HTML (Hypertext Markup Language) file. The HTTP microserver 104 can form a part of the set-top box software running as a separate task (or equivalently, running on another processor operating in concert). It is possible to access the HTTP microserver 104 from a browser 106 forming a part of the same set-top box software. Such access is accomplished via the set-top boxes TCP/IP network stack 110. This makes it possible for the browser 106 to indirectly access the set-top box 100 software via

1 the HTTP microserver 104. By use of such HTTP microserver, there is no need to  
2 modify the browser 106 in order to accomplish control of set-top box hardware and  
3 software functions. Although such modifications might require modification to the  
4 HTTP microserver, the HTTP microserver 104 code is substantially smaller than  
5 that of the browsers code and such code is designed to be easily interfaced with  
6 lower level software. Thus, it is substantially easier to modify the microserver then  
7 to modify the browser 106.

8 In operation, a user provides input to the set-top box by interacting with a  
9 user interface 114 formed by displaying an HTML page using browser 106. Such  
10 HTML page may be contained within an HTML file 118 accessed by the browser  
11 106. When the user interacts with the browser 106 to select a particular link to a  
12 Universal Resource Locator (URL), the URLs are transferred to the TCP/IP network  
13 stack 110. If the URL address is a normal Internet resource, an HTTP request is  
14 transmitted over the Internet 120 in order to access the desired URL. If, however,  
15 the URL such as URL1 and URL 2 are directed to a local address, the HTTP  
16 request is directed to HTTP microserver 104. Such HTTP requests can take the  
17 form of:

18  
19 **`http://localhost/dir/func?parameter1=value1&parameter2=value2`**

20  
21 wherein "localhost" is the HTTP microservers IP address, "dir" is a file location and  
22 "parameter1" and "parameter2" are provided with "value1" and "value2" to be  
23 operated upon by the HTTP microserver 104. In one example, parameter1 and  
24 parameter2 could represent a television channel number and a name for the  
25 channel with the function being a function defining a favorite channel function. In  
26 this manner, the HTTP microserver 104 can make a call to lower level software to  
27 write to a memory location establishing a channel number and channel name for  
28 a favorite channel desired by the user. In other embodiments, parameter1 could  
29 represent a channel that the user desires to select with the function being a  
30 channel changing function. In this case, the HTTP microserver 104 would interface

1 with a middleware module and hardware driver designed to control television or  
2 set-top box tuner. It will be clear to those skilled in the art that numerous other set-  
3 top box and television and video appliance functions can be implemented using  
4 similar processes.

5 When the HTTP microserver 104 receives an HTTP request from the TCT/IP  
6 network stack 110, an HTTP request parser 126 forming a part of the HTTP  
7 microserver directs the HTTP request to a selected one of a plurality of interface  
8 modules such as interface module 130 or interface module 131 illustrated. In the  
9 case of interface module 130, this interface module is designed to interface with  
10 a middleware module 136 via an application program interface call. Middleware  
11 module 136 then controls lower level software 140 to implement any number of  
12 functions such as memory reads and memory writes that might affect audio or  
13 video characteristics for example. In another example, the HTTP request parser  
14 126 may direct an HTTP request to interface module 131 that is designed to  
15 interface with middleware module 150 and control a hardware driver 156. This  
16 hardware driver is simply another form of lower level software that can implement  
17 control over hardware such as hardware device 160. By way of example, and not  
18 limitation, driver 156 may be a driver that controls a tuner in the set-top box for  
19 implementing channel selection. If the HTTP request is for a channel change,  
20 interface module 131 sends an appropriate API call to middleware module 150  
21 which, in turn, causes driver 156 to select a selected channel using tuner hardware  
22 160. Those skilled in the art will appreciate that other hardware can also be  
23 controlled using the present invention. Examples of such hardware might include  
24 a cable modem, a dial-up modem, a serial or parallel interface or any other suitable  
25 hardware forming a part of the set-top box or connected to the set-top box.

26 Referring now to **FIGURE 2**, a process 200 is described starting at 210 with  
27 process 200 describing the actions leading up to a HTTP request being sent to  
28 HTTP microserver 104. The processor waits the user selection of a particular link  
29 at 216. Once that link is selected by the user, a URL is sent to the TCP/IP network  
30 stack at 222. If the address in the URL is a local IP address at 228 such as that

1 representing the HTTP microserver, then the TCP/IP network stack 110 sends an  
2 HTTP request to microserver 104 at 236 and control returns to 216 until the user  
3 selects another link. In the case where the address at 228 is not a local IP  
4 address, the network stack sends the HTTP request to the Internet 120 in a normal  
5 fashion.

6 Once an HTTP request is sent to HTTP microserver 104 at 236, process 300  
7 of **FIGURE 3** is carried out starting at 310. When a request is received from the  
8 network stack at 316, the request is parsed by the HTTP request parser 126 and  
9 directed to an appropriate interface module at 324. The interface module receiving  
10 the request then makes an API call to the appropriate middleware module or  
11 alternatively directly to a driver at 332. Depending upon whether the middleware  
12 module is ultimately to control hardware or software at 340, a command is either  
13 passed to lower level software at 346 or to a hardware driver at 352. If the  
14 command is passed to the hardware driver at 352, the driver controls the hardware  
15 at 358. In either event, control returns to 316 to await the next request from the  
16 TCP/IP network stack at 316.

17 Of course many variations are possible without departing from the invention.  
18 The multiple interface modules 130 through 131 described in conjunction with  
19 **FIGURE 1** may be implemented as a single interface module or may be  
20 implemented as many interface modules as depicted. Moreover, similar  
21 implementation can be carried out for the middleware modules 136 and 150. Other  
22 variations will occur to those skilled in the art including variations of use of other  
23 mark-up languages and other protocols besides HTML and TCP/IP as described  
24 herein. While the invention has been described in conjunction with an interface to  
25 a television set-top box, similar functionality can be implemented within a television  
26 set itself. Such an implementation should be considered equivalent.

27 Those skilled in the art will recognize that the present invention has been  
28 described in terms of exemplary embodiments based upon use of a programmed  
29 processor. However, the invention should not be so limited, since the present

1 invention could be implemented using hardware component equivalents such as  
2 special purpose hardware and/or dedicated processors which are equivalents to  
3 the invention as described and claimed. Similarly, multiple processors operating  
4 in concert, general purpose computers, microprocessor based computers, micro-  
5 controllers, optical computers, analog computers, dedicated processors and/or  
6 dedicated hard wired logic may be used to construct alternative equivalent  
7 embodiments of the present invention.

8 Those skilled in the art will appreciate that the program steps used to  
9 implement the embodiments described above can be implemented using disc  
10 storage as well as other forms of storage including Read Only Memory (ROM)  
11 devices, Random Access Memory (RAM) devices; optical storage elements,  
12 magnetic storage elements, magneto-optical storage elements, flash memory, core  
13 memory and/or other equivalent storage technologies without departing from the  
14 present invention. Such alternative storage devices should be considered  
15 equivalents.

16 The present invention is preferably implemented using a programmed  
17 processor executing programming instructions that are broadly described above in  
18 flow chart form which can be stored on any suitable electronic storage medium.  
19 However, those skilled in the art will appreciate that the processes described above  
20 can be implemented in any number of variations and in many suitable  
21 programming languages without departing from the present invention. For  
22 example, the order of certain operations carried out can often be varied, and  
23 additional operations can be added without departing from the invention. Error  
24 trapping can be added and/or enhanced and variations can be made in user  
25 interface and information presentation without departing from the present invention.  
26 Such variations are contemplated and considered equivalent.

27 While the invention has been described in conjunction with specific  
28 embodiments, it is evident that many alternatives, modifications, permutations and  
29 variations will become apparent to those skilled in the art in light of the foregoing  
30 description. Accordingly, it is intended that the present invention embrace all such

alternatives, modifications and variations as fall within the scope of the appended claims.

What is claimed is:

Patent Application